Purpose

The aim of <u>this survey</u> **is to gain a better understanding of the repository landscape in Europe in order to develop a relevant and effective strategy to strengthen repositories in the region.**

Introduction

The survey is being undertaken by OpenAIRE, LIBER, SPARC Europe, and COAR as part of their <u>Joint Strategy to Strengthen the European Repository Network</u>. Results of the survey will be presented in a report in summer 2023. Aggregate, anonymised data will be deposited into the Zenodo repository. No personal information will be shared beyond the survey analysis team and contact information will be used for internal purposes only.

The survey is open to any open research / scholarly repository based in Europe and should be completed by a person who is responsible for managing the repository.

The survey has 40 questions and will take approximately 15 minutes to complete.

For the purposes of this survey, an open repository is defined as a digital management system that collects one or more types of research output and provides free access to the content to all users (with the exception of restrictions for sensitive data).

<u>The survey</u> **will be open from February 9 - March 10, 2023**

Contact information: office@coar-repositories.org

1. By filling out this survey, you consent to the following conditions for use of the data:

No personal data will be shared beyond the survey analysis team and contact information will be used for internal purposes only. Aggregate, anonymised data will be deposited into the Zenodo repository and the raw data will be deleted from Survey Monkey no later than one year after the survey has been conducted. More information about the Survey Monkey data privacy policy is <u>available here</u>.

◯ Yes

◯ No

2. Name of respondent

[   ]

3. Email of respondent

[   ]

4. Role of respondent with the repository

[   ]

5. Name of repository

[   ]

6. URL of repository

[   ]

7. Home institution (organization with a direct affiliation with the repository)

[   ]

8. Which type of institution is the home institution?

○ University

○ Research Centre

○ Publisher

○ Other (please specify)

[   ]

9. In which country is the repository based?

[   ]

10. Is the repository registered with one of the following repository directories?

☐ OpenDOAR

☐ Re3Data

☐ FAIRshairing

☐ National repository directory

☐ Don't know

☐ None

☐ Other, please specify

[   ]

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Technical Questions

11. Is the repository hosted locally or by an external provider?

○ Locally-hosted

○ External provider

Comments

[   ]

12. What software platform does the repository use?

○ DSpace

○ Invenio

○ ePrints

○ Samvera

○ Dataverse

○ Locally developed platform

○ Other (please specify)

[   ]

13. What version of the software platform is the repository using?

[   ]

14. Have there been additional add-ons/patch/codes added to the codebase for local needs?

○ Yes

○ No

○ Don't know

15. When was the last time the platform went through a major upgrade?

○ 2022

○ 2020-2021

○ 2018-2019

○ Before 2018

○ Don't know

16. When is the next upgrade planned for the platform?

○ 2023

○ 2024-2025

○ after 2025

○ Don't know

17. What metadata schemas are available to depositors (please check all that apply)

☐ Dublin Core

☐ DataCite

☐ Don't know

☐ Other (please specify)

[   ]

18. Are any of the following licenses available to depositors via the repository? (please choose all that apply)

☐ One or more Creative Commons license

☐ One or more GNU license

☐ One of more MIT license

☐ Others

☐ None of the above

19. Are any of the following author IDs integrated into the repository? (please choose all that apply)

☐ ORCID

☐ ISNI

☐ National IDs

☐ No author IDs are integrated into the repository

☐ Other (please specify)

[   ]

20. Are one or more of the following Persistent IDs assigned by the repository? (please choose all that apply)

☐ Handles

☐ DOIs

☐ The repository does not assign PIDs

☐ Other (please specify)

[   ]

21. Is the repository compliant with any of the following OpenAIRE Guidelines? (please choose all that apply)

☐ None

☐ OpenAIRE Guidelines for Literature, Institutional, and Thematic Repositories Version 1, 2, or 3

☐ OpenAIRE Guidelines for Literature, Institutional, and Thematic Repositories Version 4

☐ OpenAIRE Guidelines for Data Archives

☐ OpenAIRE Guidelines for CRIS Managers

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Content Questions

22. What are the predominant content types collected in the repository? (Please choose up to six options, with #1 as the most predominant and #2 as the second most predominant...)

published articles (article accepted manuscript or publisher copy)	
preprints	
research data	
theses and dissertations	
conference proceedings	
other	

23. Who is able to deposit into the repository?

○ Anyone

○ Persons affiliated with the institution

○ Persons with domain-specific content

○ Persons affiliated with a certain country

○ Other (please specify)

[   ]

24. How many items are in the repository? (do not include metadata-only records)

[   ]

25. What are the predominant language(s) of the metadata and content in the repository?

Most frequent

Second most frequent

Third most frequent

Forth most frequent

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Other Services Questions

26. Does the repository have a preservation policy?

○ Yes

○ No

Comments

27. Does the repository provide any of the following usage statistics services? (please check all that apply)

☐ Local repository statistics

☐ Google analytics

☐ Matomo

☐ OpenAIRE

☐ DataCite

☐ None

☐ Other (please specify)

28. Are any of the following curatorial practices applied during the deposit process?

☐ Mediated deposit

☐ Metadata validation

☐ Content validation

☐ None

☐ Other (please specify)

29. Is the repository part of a national-level service or network? (e.g. national portal, national aggregator, national hosting service)

○ No

○ Yes

If so, which one?

[   ]

30. Does the repository offer other any other services?

○ No

○ Yes

If so, what are they?

[   ]

31. Is the repository, or has the repository in the past, undergone any type of certification?

○ Yes

○ No

If so, which one(s)?

[   ]

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Sustainability and Funding Questions

32. What are the main funding sources for the repository? (please choose all that apply)

☐ Institutional funding

☐ External project funding

☐ Fees for depositors

☐ Other (please specify)

[   ]

33. How many staff members (in total FTEs) are employed to operate / manage the repository?

[   ]

34. What are the roles of those staff members? (in portion of FTEs - e.g. .5 FTE for half time)

Repository manager
(FTEs)

Technical support
(FTEs)

Metadata and content
curation (FTEs)

Other (FTEs)

35. How sustainable do you consider the repository for the next 3 years?

not sustainable	somewhat sustainable	very sustainable
○	○	○

Comments

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36. What would you consider to be the main challenges for the repository?

	Not a challenge	Somewhat of a challenge	A big challenge
Content recruitment	○	○	○
Ongoing technical operations	○	○	○
Upgrading software to new versions	○	○	○
Metadata curation	○	○	○
Employing skilled staff	○	○	○
Lack of visibility	○	○	○
Underfunding	○	○	○

Please provide any other challenges or explanatory comments

37. What types of activities would be most helpful to address your issues?

	Not helpful	Somewhat helpful	Very helpful
National or regional platform for hosting repositories	○	○	○
Training for repository managers	○	○	○
Advocacy on the importance of repositories	○	○	○
Greater national or regional coordination of repositories	○	○	○
Community of practice for technical support	○	○	○

Please suggest other activities that would be helpful here.

[   ]

38. How important do you think the role of the repository will be in the future of scholarly communications?

not important	somewhat important	very important
○	○	○

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Final Questions

39. Do you have any other feedback?

[   ]

40. Do you want to receive the final report of the study?

○ Yes

○ No

41. Do you want to receive updates about the Joint Strategy to Strengthen the European Repository Network?

○ Yes

○ No

42. May we contact you if we have any questions or for any follow-up related activities?

○ Yes

○ No